

# A CHARACTERIZATION OF $G$ -SPACES

BY

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## ABSTRACT

Two theorems of Effros about  $G$ -spaces are proved without his hypothesis of separability.

Proposition 1 gives a proof, without the hypothesis of separability, of a lemma of Effros [1, Lemma 6.2] which describes how  $G$ -characters of a  $G$ -space sit in the dual space. This result has been obtained independently by Fakhoury [2, Théorème 6] with a different proof.

Theorem 1 uses this result to remove the hypothesis of separability from a Theorem of Effros [1, Theorem 6.3] which characterizes  $G$ -spaces amongst Lindenstrauss spaces in terms of the extreme points of the conjugate ball.

Our notation will follow that of [1].  $V$  will always denote a real Lindenstrauss space and  $K$  the unit ball of  $V^*$  with the weak\* topology. By a measure on  $K$  we will always mean a regular Borel measure. If  $\mu$  is a measure on  $K$  then  $\sigma\mu$  is the measure defined by  $\sigma\mu(B) = \mu(-B)$  for Borel sets  $B \subset K$ . A family  $\{v_i\}$  of measures on  $K$  is called pairwise singular if  $v_i \wedge v_j = 0$  whenever  $i \neq j$ .

LEMMA 1. *Suppose  $V$  is a Lindenstrauss space,  $\{\mu_i\}$  is a finite set of maximal probability measures on  $K$  such that the family  $\{\mu_i\} \cup \{\sigma\mu_i\}$  is pairwise singular, and  $\{\alpha_i\}$  is a finite set of real numbers with  $|\alpha_i| \leq 1$  for all  $i$ . Then for any  $\varepsilon > 0$  there exists  $h \in V$ ,  $\|h\| \leq 1$ , such that for all  $i$*

$$\mu_i(|h - \alpha_i| > \varepsilon) < \varepsilon.$$

PROOF. Choose  $\delta = \varepsilon^2/6$  and choose compact sets  $K_i \subset K$  such that  $\mu_i(K_i) > 1 - \delta$  and the family  $\{K_i\} \cup \{-K_i\}$  is pairwise disjoint. Choose  $d$  con-

tinuous on  $K$ ,  $\|d\| = 1$ , and  $d(K_i) = \alpha_i$ ,  $d(-K_i) = -\alpha_i$ . Then  $f(x) = \frac{1}{2}[d(x) - d(-x)]$  gives a function on  $K$  with the above properties of  $d$ , but which is also symmetric ( $f(x) = -f(-x)$ ). Then

$$\mu_i(\bar{f}) = \mu_i(f) < \alpha_i + \delta$$

and

$$\sigma\mu_i(\bar{f}) < -\alpha_i + \delta$$

by maximality of  $\mu_i$  and  $\sigma\mu_i$  [5, Proposition 4.2]. Choose  $g$  concave and continuous on  $K$  so that  $-1 \leq \bar{f} \leq g \leq 1$  and

$$\mu_i(g) < \alpha_i + \delta$$

$$\sigma\mu_i(g) < -\alpha_i + \delta \quad [4, \text{Chp. II, T36}].$$

Then for  $x \in E(K)$ ,  $g(x) + g(-x) \geq f(x) + f(-x) = 0$ . Choose  $h \in V$  such that  $h \leq g$  on  $K$  [3, Theorem 2.1].

Let  $N_i = \{g > \alpha_i + \varepsilon\}$  and suppose  $\mu_i(N_i) = \gamma \geq \varepsilon/2$ . Then if  $\beta = \mu_i(K_i^c \cup N_i)$ ,

$$\begin{aligned} \mu_i(g) &\geq \int_{K_i \cap N_i^c} g d\mu_i + \int_{N_i} g d\mu_i - \delta \\ &\geq \alpha_i(1 - \beta) + (\alpha_i + \varepsilon)\gamma - \delta \\ &= \alpha_i(1 + \gamma - \beta) + \varepsilon\gamma - \delta \\ &\geq \alpha_i - 2\delta + \varepsilon^2/2 = \alpha_i + \delta \quad (\text{note } \gamma - \beta \geq -\delta), \end{aligned}$$

a contradiction.

So  $\mu_i(N_i) < \varepsilon/2$ . Since  $h \leq g$

$$\mu_i(\{h > \alpha_i + \varepsilon\}) \leq \mu_i(N_i) < \varepsilon/2.$$

On the other hand

$$\begin{aligned} \mu_i(\{h < \alpha_i - \varepsilon\}) &= \mu_i(\{-h > -\alpha_i + \varepsilon\}) = \\ \sigma\mu_i(\{h > -\alpha_i + \varepsilon\}) &\leq \sigma\mu_i(\{g > -\alpha_i + \varepsilon\}) < \varepsilon/2. \end{aligned}$$

The last inequality follows by an argument similar to the above using the fact that  $\sigma\mu_i(g) < -\alpha_i + \delta$ .

**PROPOSITION 1.** *If  $V$  is a  $G$ -space then the  $G$ -characters are the elements in  $RE(K)$ .*

**PROOF.** The proof of [1, Lemma 6.2] shows that any  $x \in RE(K)$  is a  $G$ -character. Conversely suppose  $x$  is a  $G$ -character,  $\|x\| = 1$  and  $x \notin E(K)$ . We will be fin-

ished if we obtain a contradiction. Choose a maximal probability measure  $\mu$  on  $K$  with barycentre  $x$ . Since  $\mu$  is maximal and  $x \notin E(K)$ ,  $\mu$  is not a point mass (one way to prove this is from [5, Proposition 4.2]), so there are maximal probability measures  $\mu_1$  and  $\mu_2$  on  $K$  and  $\alpha_1, \alpha_2 > 0$ ,  $\alpha_1 + \alpha_2 = 1$ , so that  $\mu = \alpha_1 \mu_1 + \alpha_2 \mu_2$  and  $\mu_1 \wedge \mu_2 = 0$ . Also  $\mu \wedge \sigma\mu = 0$ . Indeed  $\omega = \mu \wedge \sigma\mu$  is symmetric ( $\omega = \sigma\omega$ ), so has barycentre 0. Hence  $\mu - \omega$  has barycentre  $x$ . Since  $\|x\| = 1$  and  $\mu - \omega$  lives on  $K$ , we have  $\|\mu - \omega\| \geq 1$ ; since  $0 \leq \omega \leq \mu$  we deduce  $\omega = 0$ . So  $\{\mu_1, \mu_2, \sigma\mu_1, \sigma\mu_2\}$  is pairwise singular.

Using Lemma 1 with  $\varepsilon = \alpha_1\alpha_2/14$ , choose  $f, g \in V$ ,  $\|f\| = \|g\| = 1$ , so that

$$\mu_1(|f - \alpha_2| > \varepsilon) < \varepsilon \quad \mu_1(|g - 1| > \varepsilon) < \varepsilon$$

$$\mu_2(|f + \alpha_1| > \varepsilon) < \varepsilon \quad \mu_2(|g| > \varepsilon) < \varepsilon.$$

Let  $h = f \wedge g$  and define  $k$  on  $K$  by the formula

$$k(y) = f(y) \wedge g(y) \quad \text{for } y \in K.$$

Then  $h \in A$  and  $k$  is continuous, so the set  $\{h = k\}$  is closed and contains  $E(K)$ , so supports the maximal measures  $\mu_1$  and  $\mu_2$ . Hence

$$\begin{aligned} h(x) &= \mu(h) = \alpha_1\mu_1(h) + \alpha_2\mu_2(h) \\ &= \alpha_1\mu_1(k) + \alpha_2\mu_2(k) \\ &> \alpha_1[(\alpha_2 - \varepsilon)(1 - 2\varepsilon) - 2\varepsilon] + \alpha_2[(-\varepsilon)(1 - \varepsilon) - \varepsilon] \\ &= \alpha_1\alpha_2(1 - 2\varepsilon) - \alpha_1(3\varepsilon - 2\varepsilon^2) - \alpha_2(2\varepsilon - \varepsilon^2) \\ &> \frac{\alpha_1\alpha_2}{2} - 3\varepsilon - 2\varepsilon = 2\varepsilon. \end{aligned}$$

The first inequality is obtained by noting that if  $f(y) > \alpha_2 - \varepsilon$  and  $g(y) > 1 - \varepsilon$  then  $k(y) > \alpha_2 - \varepsilon$  and this happens except on a set of  $\mu_1$ -measure  $< 2\varepsilon$ ; similarly  $g(y) > -\varepsilon$  and therefore  $k(y) > -\varepsilon$  except on a set of  $\mu_2$ -measure  $< \varepsilon$ ; finally  $k(y) \geq -1$  on the exceptional sets.

On the other hand since  $x$  is a  $G$ -character

$$h(x) = f(x) \wedge g(x) = \mu(f) \wedge \mu(g).$$

Now

$$\begin{aligned} |\mu(f)| &= |\alpha_1\mu_1(f) - \alpha_1\alpha_2 + \alpha_2\mu_2(f) + \alpha_1\alpha_2| \\ &\leq \alpha_1|\mu_1(f) - \alpha_2| + \alpha_2|\mu_2(f) + \alpha_1| \\ &\leq \alpha_1 2\varepsilon + \alpha_2 2\varepsilon = 2\varepsilon. \end{aligned}$$

The last estimate is an easy consequence of the assumptions on  $f$ . So

$$|h(x)| = |\mu(f) \wedge \mu(g)| \leq 2\varepsilon,$$

a contradiction.

**THEOREM 1.** *If  $V$  is a Lindenstrauss space then the following are equivalent.*

- (1) *The structure topology on  $E_\sigma(K)$  is Hausdorff.*
- (2) *The closure  $Z$  of  $E(K)$  is contained in  $[0, 1]E(K)$ .*
- (3)  *$V$  is a  $G$ -space.*

**PROOF.** (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3) is proved in [1, Theorem 6.3].

(3)  $\Rightarrow$  (2). From Lemma 1  $[0, 1]E(K) = \{G\text{-characters}\} \cap K$  and is therefore weak\* closed.

(2)  $\Rightarrow$  (1). Suppose  $e_1$  and  $e_2$  are in  $E(K)$  and give different points in  $E_\sigma(K)$ ; so  $e_1 \neq \pm e_2$ . Choose  $f$  and  $g$  in  $V$  such that

$$f(e_1) = f(e_2) = 1 = g(e_1) = -g(e_2).$$

Let  $D_1$  (resp.  $D_2$ ) be the subset of  $[0, 1]E(K)$  on which  $f$  and  $g$  have the same (resp. different) sign. Precisely

$$D_1 = \{p \in [0, 1]E(K) : f(p) > 0 \Rightarrow g(p) \geq 0 \text{ and } f(p) < 0 \Rightarrow g(p) \leq 0\},$$

$$D_2 = \{p \in [0, 1]E(K) : f(p) > 0 \Rightarrow g(p) \leq 0 \text{ and } f(p) < 0 \Rightarrow g(p) \geq 0\}.$$

The  $D_i$  are clearly symmetrically dilated and are weak\* compact since  $[0, 1]E(K)$  is weak\* closed. So by [1, Theorem 5.8]  $D_i \cap E(K)$  is structurally closed for  $i = 1, 2$ . Clearly  $e_1 \notin D_2$ ,  $e_2 \notin D_1$  and  $E(K) \subset D_1 \cup D_2$ . Thus the sets  $E(K) - D_i$  are symmetric and structurally open and separate  $e_1$  and  $e_2$ .

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